

Forest Carbon Partnership Facility (FCPF) Readiness Mechanism Readiness Preparation Proposal (R-PP) External Review Template

(interim, December 13, 2012, from Program Document FMT 2009-1, Rev. 6)

Guidelines for Reviewers:

- 1) FCPF REDD Country Participant R-PPs will be reviewed and assessed by the FCPF Participants Committee, the FCPF's governing body, taking TAP comments into account. External (Technical Advisory Panel or other) and Bank reviewers may provide recommendations on how a draft R-PP could be enhanced, using this template on a pilot basis until a process is approved by the PC.
- 2) One set of criteria should be used for review: specific standards each of the current 6 components of an R-PP should be met.
- 3) Your comments will be merged with other reviewer comments (without individual attribution) into a synthesis document that will be made public, in general, so bear this in mind when commenting.
- 4) Please provide thoughtful, fair assessment of the draft R-PP, in the form of actionable recommendations for the potential enhancement of the R-PP by the submitting country. A REDD Country Participant would be allowed three submissions of an R-PP to the PC for consideration.

Objectives of a Readiness Preparation Proposal (condensed directly from Program Document FMT 2009-1, Rev. 3)

The **purpose of the R-PP** is to build and elaborate on the previous Readiness Plan Idea Note (R-PIN) or a country's relevant comparable work, to assist a country in laying out and organizing the steps needed to achieve 'Readiness' to undertake activities to reduce emissions from deforestation and forest degradation (REDD), in the specific country context. The R-PP provides a framework for a country to set a clear roadmap, budget, and schedule to achieve REDD Readiness. The FCPF does not expect that the activities identified in the R-PP and its Terms of Reference (ToR) would actually occur at the R-PP stage, although countries may decide to begin pilot activities for which they have capacity and stakeholder support. Instead, the R-PP consists of a summary of the current policy and governance context, what study and other preparatory activities would occur under each major R-PP component, how they would be undertaken in the R-PP execution phase, and then a ToR or work plan for each component. The activities would generally be performed in the next, R-PP execution phase, not as part of the R-PP formulation process.

Review of R-PP of: URUGUAY

Reviewer (fill in): El Salvador (Lead), United States, and Indigenous Peoples Observer

Date of review: June 27, 2013

Standard	November 25, 2013	June 20, 2014
1a: National Readiness Management Arrangements	Standard Largely Met	Standard Met
1b: Information Sharing and Early Dialogue with Key Stakeholder Groups	Standard Not Met	Standard Largely Met

1c: Consultation and Participation Process	Standard Largely Met	Standard Met
2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy, and Governance	Standard Largely Met	Standard Met
2.b: REDD-plus strategy Options	Standard Largely Met	Standard Met
2.c: REDD-plus implementation framework	Standard Largely Met	Standard Met
2.d: Social and Environmental Impacts during Readiness Preparation and REDD-plus Implementation	Standard partially Met	Standard Largely Met
3: a National Forest Reference Emission Level and/or a Forest Reference Level	Standard Met	Standard Met
4a: National Forest Monitoring System	Standard Largely Met	Standard Met
4b: Designing an Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	Standard partially Met	Standard Largely Met
5. Schedule and Budget	Standard Largely Met	Standard Met
6. Program Monitoring & Evaluation Framework	Standard Met	Standard Met

Standards to be Met by R-PP Components

(From Program Document FMT 2009-1, Rev. 6:)

Component 1. Organize and Consult

Standard 1a: National Readiness Management Arrangements:

The cross-cutting nature of the design and workings of the national readiness management arrangements on REDD, in terms of including relevant stakeholders and key government agencies beyond the forestry department, commitment of other sectors in planning and implementation of REDD readiness. Capacity building activities are included in the work plan for each component where significant external technical expertise has been used in the R-PP development process, and mechanisms for addressing grievances regarding consultation and participation in the REDD-plus process, and for conflict resolution and redress of grievances.

Reviewer's assessment of how well R-PP meets this standard, and recommendations:

The Document R-PP contains an identification and characterization of key stakeholders for REDD+ in Uruguay. A "Mesa REDD+" will be established and is proposed that will serve to lead the design, development and implementation of the National REDD+ Strategy, and to coordinate and conduct the Readiness process.

Recommendations:

The component has incorporated most of recommendations previously made. Provide additional information on participation of afro-descendants and Mestizo/Indigenous Peoples- Charrúas in governance mechanisms, as that represents 13% of Uruguay's population.

Standard 1b: Information Sharing and Early Dialogue with Key Stakeholder Groups:

The R-PP presents evidence of the government having undertaken an exercise to identify key stakeholders for REDD-plus, and commenced a credible national-scale information sharing and awareness raising campaign for key relevant stakeholders. The campaign's major objective is to establish an early dialogue on the REDD-plus concept and R-PP development process that sets the stage for the later consultation process during the implementation of the R-PP work plan. This effort needs to reach out, to the extent feasible at this stage, to networks and representatives of forest-dependent indigenous peoples and other forest dwellers and forest dependent communities, both at national and local level. The R-PP contains evidence that a reasonably broad range of key stakeholders has been identified, voices of vulnerable groups are beginning to be heard, and that a reasonable amount of time and effort has been invested to raise general awareness of the basic concepts and process of REDD-plus including the SESA.

Reviewer's assessment of how well R-PP meets this standard, and recommendations:

New version of R-PP includes the latest round of meetings held in Uruguay to present REDD+ and have identified a larger group of stakeholders especially those involved in forest products and rural producers as well as involving the environmental NGO network which has been very active in these issues in the past.

Uruguay must expand the Early Dialogue process and awareness activities on REDD+ with key stakeholders, in particular with afro-descendants and Mestizo/indigenous people - Charrúas, as most vulnerable groups.

Standard 1c: Consultation and Participation Process

Ownership, transparency, and dissemination of the R-PP by the government and relevant stakeholders, and inclusiveness of effective and informed consultation and participation by relevant stakeholders, will be assessed by whether proposals and/ or documentation on the following are included in the R-PP (i) the consultation and participation process for R-PP development thus far³ (ii) the extent of ownership within government and national stakeholder community; (iii) the Consultation and Participation Plan for the R-PP implementation phase (iv) concerns expressed and recommendations of relevant stakeholders, and a process for their consideration, and/or expressions of their support for the R-PP.

³ Did the R-PP development, in particular the development of the ToR for the strategic environmental and social assessment and the Consultation and Participation Plan, include civil society, including forest dwellers and Indigenous Peoples representation? In this context the representative(s) will be determined in one of the following ways: (i) self-determined representative(s) meeting the following requirements: (a) selected through a participatory, consultative process; (b) having national coverage or networks; (c) previous experience working with the Government and UN system; (d) demonstrated experience serving as a representative, receiving input from, consulting with, and providing feedback to, a wide scope of civil society including Indigenous Peoples organizations; or (ii) Individual(s) recognized as legitimate representative(s) of a national network of civil society and/or Indigenous Peoples organizations (e.g., the GEF Small Grants National Steering Committee or National Forest Program Steering Committee).

Reviewer's assessment of how well R-PP meets this standard, and recommendations:

Uruguay's RPP has a "Map of Actors" and a preliminary consultation proposal with objectives and goals. The proposal includes scope and content of consultation, and schedule for national and local workshops.

Recommendations:

Provide additional information, as appropriate, on possible applying of the Free, Prior, Informed Consultation.

Mentioned elsewhere in the document, the Charrua nation organization will be involved.

Component 2. Prepare the REDD-plus Strategy

Standard 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy, and Governance:

A completed assessment is presented that: identifies major land use trends; assesses direct and indirect deforestation and degradation drivers in the most relevant sectors in the context of REDD-plus; recognizes major land tenure and natural resource rights and relevant governance issues; documents past successes and failures in implementing policies or measures for addressing drivers of deforestation and forest degradation; identifies significant gaps, challenges, and opportunities to address REDD; and sets the stage for development of the country's REDD strategy to directly address key land use change drivers.

Reviewer's assessment of how well R-PP meets this standard, and recommendations:

The document presents clearly a dynamics of forest ecosystems in Uruguay, and describes the interaction between productive sectors and forest conservation, including pressures and main drivers of deforestation and forest degradation, and status of native forest. Also includes regulatory framework and current institutional capabilities.

This standard is much improved and expanded to include discussions of degradation and more information on deforestation as requested. The inclusion of the palm forests is very much appreciated and is quite exciting to see here finally.

Recommendations

Provide additional information on interlinked livelihoods, traditional knowledge and practices of afro-descendants and Mestizo/Indigenous People with Forest and Land Use.

Standard 2.b: REDD-plus strategy Options:

The R-PP should include: an alignment of the proposed REDD-plus strategy with the identified drivers of deforestation and forest degradation, and with existing national and sectoral strategies, and a summary of the emerging REDD-plus strategy to the extent known presently, and of proposed analytic work (and, optionally, ToR) for assessment of the various REDD-plus strategy options. This summary should state: how the country proposes to address deforestation and degradation drivers in the design of its REDD-plus strategy; a plan of how to estimate cost and benefits of the emerging REDD-plus strategy, including benefits in terms of rural livelihoods, biodiversity conservation and other developmental aspects; socioeconomic, political and institutional feasibility of the emerging REDD-plus strategy; consideration of environmental and social issues; major potential synergies or inconsistencies of country sector strategies in the forest, agriculture, transport, or other sectors with the envisioned REDD-plus strategy; and a plan of how to assess the risk of domestic leakage of greenhouse benefits. The assessments included in the R-PP eventually should result in an elaboration of a fuller, more complete and adequately vetted REDD-plus strategy over time.

Reviewer's assessment of how well R-PP meets this standard, and recommendations:

In general terms, R-PP document includes appropriate identification of proposals and strategic options to address the main drivers of deforestation and degradation of forest.

Recommendations:

The component has incorporated most of recommendations previously made. Considering the land tenure in Uruguay with most private forest and plantation, the Document should also consider the design of a fair and equitable benefit sharing mechanism, as ways to include vulnerable groups that depend to some extent of forest ecosystems.

Standard 2.c: REDD-plus implementation framework:

Describes activities (and optionally provides ToR in an annex) and a work plan to further elaborate institutional arrangements and issues relevant to REDD-plus in the country setting. Identifies key issues involved in REDD-plus implementation, and explores potential arrangements to address them; offers a work plan that seems likely to allow their full evaluation and adequate incorporation into the eventual Readiness Package. Key issues are likely to include: assessing land ownership and carbon rights for potential REDD-plus strategy activities and lands; addressing key governance concerns related to REDD-plus; and institutional arrangements needed to engage in and track REDD-plus activities and transactions.

Reviewer's assessment of how well R-PP meets this standard, and recommendations:

R-PP presents the whole legal framework of Uruguay, as adequate to design and to implement the National REDD+ Strategy. Uruguay's R-PP stands out with the incentive system proposal that includes various compensation mechanisms for forest owners, and presents as interesting and financially sustainable proposal. The component has incorporated most recommendations previously made. Consider to include in the RPP, activities related with harmonization of policies, development of awareness, capacity building, and mainstreaming process.

Standard 2.d: Social and Environmental Impacts during Readiness Preparation and REDD-plus Implementation:

The proposal includes a program of work for due diligence in the form of an assessment of environmental and social risks and impacts as part of the SESA process. It also provides a description of safeguard issues that are relevant to the country's readiness preparation efforts. For FCPF countries, a simple work plan is presented for conducting the SESA process, cross referencing other components of the R-PP as appropriate, , and for preparing the ESMF.

Reviewer's assessment of how well R-PP meets this standard, and recommendations:

Recommendations:

The RPP must include a proposal to realize an impact assessment of strategic options, with identification of appropriate measures to mitigate negative impacts. In this process, special attention should be given to vulnerable groups that depend to some extent of forest ecosystems, as appropriate.

Component 3. Develop a National Forest Reference Emission Level and/or a Forest Reference Level

Standard 3: a National Forest Reference Emission Level and/or a Forest Reference Level

Present work plan for how the reference level for deforestation, forest degradation (if desired), conservation, sustainable management of forest, and enhancement of carbon stocks will be developed. Include early ideas on a process for determining which approach and methods to use (e.g., forest cover change and GHG emissions based on historical trends, and/or projections into the future of historical trend data; combination of inventory and/or remote sensing, and/or GIS or modeling), major data requirements, and current capacity and capacity requirements. Assess linkages to components 2a (assessment of deforestation drivers), 2b (REDD-plus strategy activities), and 4 (monitoring system design).

(FCPF and UN-REDD recognize that key international policy decisions may affect this component, so a stepwise approach may be useful. This component states what early activities are proposed.)

Reviewer's assessment of how well R-PP meets this standard, and recommendations:

The document presents necessary information and technical proposal to establish reference level. No additional recommendations

Component 4. Design Systems for National Forest Monitoring and Information on Safeguards

Standard 4a: National Forest Monitoring System:

The R-PP provides a proposal and workplan for the initial design, on a stepwise basis, of an integrated monitoring system of measurement, reporting and verification of changes in deforestation and/or forest degradation, and forest enhancement activities. The system design should include early ideas on enhancing country capability (either within an integrated system, or in coordinated activities) to monitor emissions reductions and enhancement of forest carbon stocks, and to assess the impacts of the REDD-plus strategy in the forest sector.

The R-PP should describe major data requirements, capacity requirements, how transparency of the monitoring system and data will be addressed, early ideas on which methods to use, and how the system would engage participatory approaches to monitoring by forest-dependent indigenous peoples and other forest dwellers. It should also address independent monitoring and review, involving civil society and other stakeholders, and how findings would be fed back to improve REDD-plus implementation. The proposal should present early ideas on how the system could evolve into a mature REDD-plus monitoring system with the full set of capabilities.

(FCPF and UN-REDD recognize that key international policy decisions may affect this component, so a staged approach may be useful. The R-PP states what early activities are proposed.

Reviewer's assessment of how well R-Plan meets this standard, and recommendations:

Recommendations.

R-PP document presents necessary activities to develop a National Monitoring System. All recommended steps have been included in this version. However seeing more detailed information about the NFI being included in the text would be useful to determine better the relevance of the NFI for the monitoring system. Mentioning the plan for maintaining the sustainability of the NFI over time would be useful.

Standard 4b: Designing an Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards :

The R-PP provides a proposal for the initial design and a workplan, including early ideas on capability (either within an integrated system, or in coordinated activities), for an integrated monitoring system that includes addressing other multiple benefits, impacts, and governance. Such benefits may include, e.g., rural livelihoods, conservation of biodiversity, key governance factors directly pertinent to REDD-plus implementation in the country.

(The FCPF and UN-REDD recognize that key international policy decisions may affect this component, so a staged approach may be useful. The R-PP states what early activities are proposed.)

Reviewer's assessment of how well R-Plan meets this standard, and recommendations:

R-PP document must identify the potential co-benefits to be derived from the implementation of the National REDD+ Strategy, as environmental and socio-economic benefits, and to provide preliminary indicators to carry out the Monitoring for REDD+ co-benefits.

Component 5. Schedule and Budget

Standard 5: Completeness of information and resource requirements

The R-PP proposes a full suite of activities to achieve REDD readiness, and identifies capacity building and financial resources needed to accomplish these activities. A budget and schedule for funding and technical support requested from the FCPF and/or UN-REDD, as well as from other international sources (e.g., bilateral assistance), are summarized by year and by potential donor. The information presented reflects the priorities in the R-PP, and is sufficient to meet the costs associated with REDD-plus readiness activities identified in the R-PP. Any gaps in funding, or sources of funding, are clearly noted.

Recommendations:

The budget - summary table - in component 5 of the R-PP document includes complete information for FCPF funds and other sources of funding, as government contribution.

It is necessary to review the amount allocated to activities of capacity building and full participation of afro-descendant and Mestizo/Indigenous People in REDD governance mechanisms and other key activities.

Component 6. Design a Program Monitoring and Evaluation Framework

Standard 6: The R-PP adequately describes the indicators that will be used to monitor program performance of the Readiness process and R-PP activities, and to identify in a timely manner any shortfalls in performance timing or quality. The R-PP demonstrates that the framework will assist in transparent management of financial and other resources, to meet the activity schedule.

Reviewer's assessment of how well R-PP meets this standard, and recommendations:

The Document presents clearly the products, and means of verification for each of the components. Once the recommendations for different components are met, will be possible to incorporate other performance criteria. No additional recommendation.